Page 1 of 3

0.5	•	1 age 1 01 5
FORM P30-1449 (Colb)	ATTY DOCKET NO.	SERIAL NUMBER
OCT 0 1 2007 2	LOY=4	09/887,549
LIST OF PLOTENTS AND PUBLICATIONS	APPLICANT	
FOR APPLICANTS' INFORMATION	Irit LOY et al.	·
STATEMENT	FILING DATE	GROUP ART UNIT
	June 25, 2001	N/A 2/7/

U.S. PATENT DOCUMENTS

Examiner's							FILING
Initials		DOCUMENT NO.	DATE	NAME	CLASS	SUB	DATE
Elek	AA	6,023,710	Feb. 2000	Steiner et al.		<u> </u>	
	AB	6,021,508	Feb. 2000	Schmuck et al.			
	AC	6,023,706	Feb. 2000	Schmuck et al.			
	AD	5,940,838	Aug. 1999	Schmuck et al.		(
	AE	5,987,477	Nov. 1999	Schmuck et al.		l	
	AF	6,032,216	Feb. 2000	Schmuck et al.	-		
	AG -	5,999,976	Dec. 1999	Schmuck et al.	-	<u></u>	
	AH	5,963,963	Oct. 1999	Schmuck et al.	<i></i>		
V	AI	5,893,086	Apr. 1999	Schmuck et al.		~_	

OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

Ell	AJ	A. Miroshnichenko, "Data Management API: The Standard and Implementation Experiences", Veritas Software Corp., Mountain View, California, 1996, 12 pages.		
	AK	"DMEpi: Data Management API for DFS/Episode", Transarc Corp., Pittsburgh,		
	, AK	PA, December 1996, 16 pages.		
		R. Agarwalla et al., "HPSS/DFS: Integration of a Distributed File System with a		
	AL	Mass Storage System", NASA Goddard Conference on Mass Storage Systems and		
	}	Technologies, IEEE Symposium on Mass Storage Systems, March 23-26, 1998, 15		
		pages.		
		"HPSS Release 4.1 New Features", 2000, 5 pages.		
	MA	(http://www.sdsc.edu/hpss/ReleaseInfo/release_4.1.html).		
	•	P. Lawthers, "The Data Management Applications Programming Interface",		
	AN	published in the Proceedings of the Fourteenth IEEE Symposium on Mass Storage		
	. A.10	Systems, Second Int'l Symposium, entitled "Storage - At the Forefront of		
		Information Infrastructures", Monterey, California, Sept. 11-14, 1995, pp.		
	ļ	327-335.		
1	·	Tivoli [®] Storage Manager (Web Page), "Tivoli Storage Manager - IBM AIX		
1	AO	Client", June 23, 2000, one page.		
EXAMINER: EX III MARK DATE CONSIDERED: 12/10/03				
EXAMINE	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP			
609; Draw line through citation if not in conformance and not considered. Include				
		y of this form with next communication to applicant.		

OCI 0 1 2001 W	ATTY DOCKET NO. LOY=4	SERIAL NUMBER 09/887,549
LIST PATENTS AND PUBLICATIONS FOR APPLEANTS' INFORMATION	APPLICANT Irit LOY et al.	
	FILING DATE	GROUP ART UNIT
	June 25, 2001	N/A 2171

OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

	<u> </u>	"NetWorker HSM - Advanced Data Management", Legato® Systems, Inc., 2000,				
e od	1	three pages.				
Elih	AP	(wysiwyg://96/http://portal1.legato.com/products/protection/networker/hsm/)				
		"What is DMF?", SGI - Software: Data Migration Facility Overview, Silicon				
	AQ	Graphics, Inc., 2000, 6 pages. (http://www.sgi.com/software/dmf/overview.html)				
-	 	"CrosStor DMAPI-Compatible Event Management", CrosStor Software, Inc., XI,				
	AR	1999, 6 pages. (wysiwyg://111/http://www.crosstor.com/technology/DMAPI.html)				
		"DFS Storage Management Runtime (SMR)", DFS Administration Guide, IBM				
	AS	Corp., 2000, 5 pages.				
	AND	(http://www.transarc.com/Library/documentation/dfs/3.1/AdminGd/duagd017.htm)				
		ADIC Inc., Software Products Web Page, 2000, 4 pages. (http://www.adic.com/US				
	AT	English /Products/Software/fileServ/)				
	AU	"An Introduction to GPFS 1.2", IBM Corp., Dec. 1998, 15 pages.				
		"GPFS Parallel File System", Almaden Computer Science Research, IBM Corp.,				
	AV	2000, one page. (http://www.almaden.ibm.com/cs/gpfs.html)				
	·	Product entitled "Veritas Storage Migrator for UNIX", Veritas Software Corp.,				
		Mountain View, California, 2000, one page.				
	AW	(http://www.veritas.com/us/products/storagemigratorunix/prodinfo.html)				
		"Tivoli® Space Manger for UNIX Using the Hierarchical Storage Management				
	AX	Clients", Version 3, Release 7, IBM Corp., April 2000, 230 pages.				
		"IBM General Parallel File System for AIX: Data Management API Guide", IBM				
h	AY	Corp., July 2000, 56 pages.				
EXAMINE	R:	DATE CONSIDERED: 12/10/03				
EXAMINE		al if reference considered, whether or not citation is in conformance with MPEP				
	609	; Draw line through citation if not in conformance and not considered. Include				
	cop	y of this form with next communication to applicant.				

POTEMBT 12-1449 (Colb)	ATTY DOCKET NO. LOY=4	SERIAL NUMBER 09/887,549
LIST OF PATENTS AND PUBLICATIONS FOR APPLICATIONS FOR APPLICATION	APPLICANT Irit LOY et al. FILING DATE	GROUP ART UNIT
	June 25, 2001	N/A 217/

OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

Elek	AZ	"IBM General Parallel File System for AIX: Concepts, Planning, and Installation
Eller	112	Guide", IBM Corp., December 2000, 2 nd Edition, 106 pages.
ſ		"X/Open CAE Specification: Systems Management: Data Storage Management
1	BA	(XDSM) API Sanity-Check Draft", X/Open Co. Ltd., United Kingdom, July 1996,
		178 pages.
		"High Availability Cluster Multiprocessing for AIX Version 4.3", IBM Corp.,
	BB	2000. 5 pages. (wysiwyg://17/http://www.rs6000.ibm.com/software/Apps/hacmp/)
	·	R L Haskin "Tiger Shark - A Scalable File System for Multimedia", IBM Journal
1 1	700	of Research & Development, Vol. 42, No. 2 - Multimedia Systems, IBM Corp.,
1 1	BC	1998, 16 pages. (http://www.research.ibm.com/journal/rd/422/haskin.html)
	 	"UniTree Announces High Performance XDSM (DMAPI) File System Product",
1	BD	UniTree Software, Inc., May 17, 1999, one page.
	 	"CAE Specification: Data Storage Management – A Systems Approach", The
	BE	Open Group, February 1997, table of contents (3 pages). (www.opengroup.org)
		R. Gooch, "Overview of the Virtual File System", July 5, 1999, 8 pages.
	BF	(http://www.atnf.csiro.au/~rgooch/linux/vfs.txt)
<u> </u>	DI.	NOVELL: Netware 5.1: Detailed View, 2000, 8 pages.
i	- ma	
	BG	(http://www.novell.com/products/netware/details.html)
		"Sun's Network File System (NFS)", 2001, 5 pages.
	ВН	(http://www.cs.tamu.edu/faculty/bettati/Courses/662/Spring-2001/Slides/HTTP/DF
		S/s1)
		"Microsoft Distributed File System" [Microsoft Windows NT Server 4.0;
	BI	Distributed Fi], last updated September 15, 2000, 9 pages.
#/		(http://www.microsoft.com/NTServer/nts/downloads/winfeatures/NTSDistrFile/Ad
- W		min)
EXAMINE	R: 7	DATE CONSIDERED: 12/10/03
EXAMINE	R: Init	ial if reference considered, whether or not citation is in conformance with MPEP
	609	; Draw line through citation if not in conformance and not considered. Include
	COD	y of this form with next communication to applicant.
	<u> </u>	, , , , , , , , , , , , , , , , , , , ,

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction of 1995, no commence of the 1995, no commence of 1995, no commence of

Complete if Known Substitute for form 1449A/PTO 09/887,549 **Application Number** INFORMATION DISCLOSURE June 25, 2001 Filing Date STATEMENT BY APPLICANT Irit LOY, et al. First Named Inventor 2171 **Group Art Unit** (use as many sheets as necessary) Confirmation Number 5844 LOY=4 Sheet of Attorney Docket Number

			U.S. PA	TENT DOCUMENTS	
xaminer	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Elik	BJ	US-6.065,065	05-16-2000	Murakami, et al.	
ا	ВК	US-2002/0026605	02-28-2002	Terry, R.	
	BL	US-2002/0056003	05-09-2002	Goswami, et al.	
Ψ	ВМ	US-2003/0097517	05-22-2003	Haneda, N.	
		US-			_
	<u> </u>	US-			- OFIVED
		US-			RECEIVE
		US-			207 2 8 2003
		US-			061 5 8 5000
		US-			Conter 2100
		US-		,	Jechnology Center 2
		US-			
		US			
		US-			

		FOREIC	ON PATENT DO	DCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Number Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
						-
						_

Examiner	3 Plat min -	Date	6/10/03
Signature	6 Clhause	Considered	12/10/03

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.